Amazon Frustration-Free Packaging Program Certification Guidelines

Frustration Free Packaging Programs: Tier 1 – Frustration-Free Packaging, Tier 2 - Ships in its Own Container and Tier 3 - Prep-Free Packaging



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Table of Contents

| <u>Section</u> | <u>Page</u> |
|--|-------------|
| Introduction | 1 |
| Amazon Packaging Certification Tiers | 2 |
| Benefits of Packaging Certification | 2 |
| Certification Requirements | 3 |
| Transit Testing for Packaging Certification | 4 |
| Starting the Packaging Certification Process | 5 |

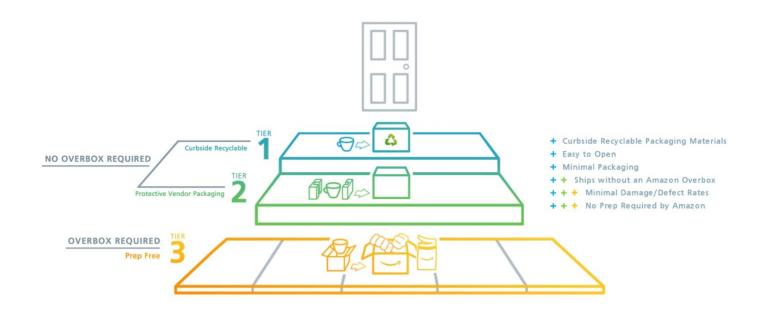
Introduction

Inventing packaging at the 'Everything Store' is a unique challenge. With many millions of items for sale we needed to invent a system that inspired the industry. Listening to the customer was the starting point. While they often tell us they loved how their products were packaged, they also told us when our packaging didn't work — when products were damaged, when the packaging was too big, or just too hard to open. This data is one of the key stimuli that informed our worldwide packaging team to invent and simplify a system called the Frustration-Free Packaging Program to embody a set of core tenants, test methods and a certification process to scale our ideas across millions of products.

At Amazon, it's our mission to be the world's most customer-centric company, and we continue to raise the bar by providing customers with what they want: minimal, protective and functional packaging. Our goal is that every item received by Amazon falls in to one of our certification tiers in the Frustration Free Packaging Program: Tier 1 – Frustration-Free Packaging, Tier 2 - Ships in its Own Container and Tier 3 - Prep-Free Packaging. Certified packaging must protect the product from damage throughout the supply chain to the customer's doorstep without the need for additional packaging or prep by Amazon. This ensures we lower packaging waste and reduce our environmental footprint. Packaging must be easy to open and eliminate 'wrap rage', so common with consumer packaging. Finally, we expect that all packaging components are designed for recyclability. These tenants embody our program and ensure packaging is designed to reduce waste and are ready to ship to customers as received from our Vendors.

As we partner with our Vendors to achieve these goals, we created these Packaging Certification Guidelines to improve sustainability and drive innovations to improve the customer experience, decrease the environmental impact of consumption, lower supply chain costs and unlock additional selection. More information on how to design, test and certify packaging for Amazon can be found at www.aboutamazon.com/sustainability/packaging.

Amazon Frustration-Free Packaging Program Certification Tiers



Benefits of Packaging Certification

| | Amazon Packaging Certification Tiers | | | |
|--|--------------------------------------|---------------|--------------|--|
| BENEFITS: | Tier 1 (FFP) | Tier 2 (SIOC) | Tier 3 (PFP) | |
| Reduction/Removal of Amazon Prep Chargebacks | ✓ | ✓ | ✓ | |
| Opportunity to Reduce Packaging costs | ✓ | ✓ | | |
| Opportunity to Reduce Inbound Transportation costs | ✓ | ✓ | | |

- A. **Reduction/Removal of Amazon Prep Chargebacks:** When an ASIN is certified as Tier 1 (FFP), Tier 2 (SIOC) or Tier 3 (PFP) Amazon will ensure your ASIN is not sidelined to have additional Amazon-prep applied. This provides Vendors with the ability to avoid prep chargebacks.
- B. <u>Opportunity to Reduce Packaging Costs</u>: Standard retail packaging is often designed to grab a customer's attention by utilizing full-color glossy printing, being oversized to gain more shelf presence, or by employing windows, cutouts, and other costly packaging features not necessary for Amazon customers. By optimizing packaging for Amazon fulfillment and eliminating unnecessary package marketing features, Vendors can reduce waste, enhance sustainability and realize cost savings.
- C. <u>Opportunity to Reduce Inbound Transportation Costs</u>: When packaging is optimized for Amazon fulfillment, the package is "right sized" for the total supply chain. Smaller packages translate to lower transportation costs (more units per pallet/truck/container). It is also less costly for Amazon to ship that same package to the customer, saving money for both the Vendor and Amazon.

Certification Requirements

| | Amazon Packaging Certification Tiers | | | |
|---|--------------------------------------|---------------|--------------|--|
| REQUIREMENTS: | Tier 1 (FFP) | Tier 2 (SIOC) | Tier 3 (PFP) | |
| Protective Against Damage | | | | |
| ISTA 6 Compliant | ✓ | ✓ | ✓ | |
| No Amazon-Prep Required | | | | |
| Designed to Reduce Waste | ./ | _/ | | |
| Ships Without an Amazon Overbox | • | • | | |
| Recyclable Packaging | ✓ | | | |
| Easy-To-Open | ✓ | | | |

- A. <u>Packaging Construction</u>: Product packaging must be a rigid six-sided shape (rectangular), capable of surviving the single parcel delivery network or Less-than-Truckload (LTL) shipping, as validated by passing the ISTA 6-Amazon.com (SIOC) test method. Padded mailers and rigid envelopes may be used, however six-sided rigid corrugate cartons are the preferred packaging method. Polybags are not allowed. Package panels must be flat and free of protrusions, windows and cut-outs. Access holes/hand holes are allowed but cannot exceed dimensions of 3"x1.5" with 1.5" radius.
- B. **Minimum Packaging Dimensions**: Product packaging must meet the minimum dimensions listed below, with no exceptions. Any product packaging with dimensions smaller than those listed below can only qualify for Tier 3 (PFP) as it will require additional over boxing by Amazon.

| Minimum Acceptable Packaging Dimensions - Tier 1 (FFP)/Tier 2 (SIOC) | | | | |
|--|--------------|-----------------|--|--|
| Length | Width | Height | | |
| 9" (228.6mm) | 6" (152.4mm) | 0.375" (9.5 mm) | | |

- C. <u>Packaging Sealing</u>: All open edges must be adequately sealed to ensure the product remains protected while in the fulfilment center and throughout its distribution to the customer. Staples are not allowed as a sealing method, but are permitted on the manufacturer glue joint. Locking tabs must be properly sealed with glue or tape. For oversized products, non-metal straps or bands are permitted to provide structural integrity to the outer shipper, as long as the straps are tightly fitted around the product. Bundling multiple packages with straps or bands is not permitted.
- D. <u>Package Printing and Identification Labeling</u>: Package markings and labeling must clearly indicate contents and communicate any unique handling instructions. All certified packages must also follow the labeling and carton marking requirements as outlined in the Vendor Shipment Prep and Transportation Manual available within the Vendor Central Resource Centers.
- E. <u>HAZMAT Classified Products</u>: At this time, we cannot offer **any** certification for HAZMAT products (ASINs with a UN number) and/or if the packaging has any transportation regulated symbols or markings.
- F. <u>Product-to-Packaging Ratio</u>: "Product" is defined as the total cubic volume of the primary product and the included components necessary for the function/use of the product. Product-to-Package ratio is calculated as: the volume of the product, divided by the total volume of the packaging. See example below:

| | Length | Width | Height | Total Volume |
|------------------------|-----------|-----------------------|----------|-----------------|
| Product Measurements | 20 inches | 9 inches | 6 inches | 1080 inches |
| Packaging Measurements | 22 inches | 10 inches | 8 inches | 1760 inches |
| | | Box-Utilization Score | | 1080/1760 = 61% |

Box-Utilization Score must be greater than 30% and 50% for fragile and non-fragile products, respectively across Tier 1 and Tier 2 Certifications.

- Fragile products include, but are not limited to, items containing:
 - Glass, ceramic/porcelain/clay
 - Liquid/semi-liquids; Solids that can become liquid at temperatures experienced during the distribution cycle (above 70°F or 21.1°C).
 - Electronics that are easily damaged when dropped from 18 in. or 45.7 cm. without packaging.
- G. <u>Recyclable Packaging</u>: For Tier 1 (FFP), packaging must be made of 100% curbside recyclable materials. Acceptable materials include: corrugated and other paper-based materials, and/or plastic components marked with SPI codes 1 (PET), 2 (HDPE), and 5 (PP). In the U.S., packaging must be in compliance with the FTC's Green Guides for the Use of Environmental Marketing Claims, (www.ftc.gov; Section 260.12). For the EU, please refer to the legislation on European Parliament and Council Directive 94/62/EC of 20 December 1994 on packaging and packaging waste. These are meant as examples only, and Vendors are responsible for complying with all applicable laws. Additionally, printing and/or treatments must not affect the recyclability of the package.
 - Plastic films or bags are only allowed in situations where the product requires abrasion and dust protection, or small parts containment. Only plastic film printed with SPI codes 2 (HDPE) and 4 (LDPE) are acceptable.
 - Twist ties are allowed to manage cord organization only, and cannot be used to secure the product to its packaging.
 - Silica gel bags are allowed.
 - o Flexible films or bags that provide barrier properties and maintain product quality or freshness are allowed.
- H. <u>Easy-To-Open</u>: Tier 1 (FFP) packaging must be easy-to-open by the customer with minimal use of scissors or box cutter. Packaging must not contain blister packs, plastic inserts, packaging peanuts, shredded paper, or welded clam shells. All contents must be removable from the package within 120 seconds.

Transit Testing for Packaging Certification

- A. <u>Testing Procedures:</u> Tests must be conducted according to the International Safe Transit Association test methods: ISTA 6-Amazon.com (SIOC) used for Tier 1 (FFP) and Tier 2 (SIOC) testing, or ISTA 6-Amazon.com Over-Boxing method used for Tier 3 (PFP). These ISTA 6-Amazon.com tests were developed by ISTA in cooperation with Amazon, and have been designed as simulations that challenge the capability of the package and product to withstand common transport conditions. Complete ISTA testing standards are located at their corporate website (www.ista.org).
- B. <u>Package Size Categories</u>: Packaging testing varies depending on the size of the item being tested. Packages within Amazon are separated into three categories based on dimensions and weight per region: OVERSIZED, STANDARD, and TIER 3 (PFP). Please see chart below for size categories, correlating certification levels and test methods:

| | Weight Requirements | AND/ OR | Dimensions Requirements | Testing Procedures | Test Samples Required |
|--|------------------------|------------|--|---|------------------------------|
| Oversized Tier 1 (FFP)/ Tier 2 (SIOC) | ≥ 50 lbs, 23 kg | OR | Any Dimension > 108 in, 274 cm, or > 165" Girth | ISTA 6-Amazon.com (SIOC) Type B – Type F | Non-Fragile: 1 Fragile: 5 |
| Standard Tier 1 (FFP)/ Tier 2 (SIOC) | < 50 lbs, 23 kg | AND | ≥ 9.0 in. x 6.0 in. x 0.375 in. (Longest dimension cannot exceed 108 in.) ≥ 229 mm. x 152 mm. x 9.5 mm. (Longest dimension cannot be >274cm) | ISTA 6-Amazon.com (SIOC) Type A | Non-Fragile: 1 Fragile: 5 |
| Televisions | < 150 lbs, 68kg | AND | ≤ 165" Girth* | ISTA 6-Amazon.com (SIOC) Type G | Non-Fragile: 1 |
| Televisions | > 150 lbs, 68kg | OR | > 165" Girth* | ISTA 6-Amazon.com (SIOC) Type H | Non-Fragile: 1 |
| Tier 3 (PFP) | < 50bs, 23 kg | AND | < 9.0 in. x 6.0 in. x 0.375 in. | ISTA 6-Amazon.com (OVER-BOXING) | Non-Fragile: 2 Fragile: 5 |

^{*}Girth = Length + 2*Height + 2*Width

Starting the Packaging Certification Process

In order to certify your product, you must enroll the ASIN in Vendor Central by selecting "Support", then "Contact Us" then "What can we help you with?", then scroll down and select "Amazon Packaging Certification". To learn more about requesting help please click on the following link: www.aboutamazon.com/sustainability/packaging/certification. All enrollments must be submitted by completing a ISTA 6 Amazon certification test with a third party ISTA certified lab. Labs certified by ISTA to perform the ISTA6-Amazon-SIOC or ISTA6-Amazon-Over-Boxing test methods can be found here.

<u>Certification Workflow</u>: Prior to enrolling your product for testing, please ensure you have performed the appropriate ISTA 6-Amazon.com test method and are confident that it will pass. It is important to note that when enrolling your item for certification (either through testing from an APASS lab or ISTA certified lab) the certification process is intended to be a FINAL confirmation that an ASIN meets our guidelines and requirements. Once an ASIN is certified after enrollment, the ASIN is immediately set to ship in its own container (Tier 1 or Tier 2) and/or prep instructions are removed (Tier 1, 2 and 3). Therefore, ASINs should not be enrolled for certification until the items are ready to begin shipping to the Amazon fulfillment network, or risk decertification of their ASIN. Details on how to submit an ASIN for certification can be found here.